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William H. Armstrong<sup>a</sup>, Mathias J. Collins<sup>b</sup> & Noah P. Snyder<sup>c</sup>

<sup>a</sup> INSTAAR and Department of Geological Sciences, University of Colorado at Boulder, Boulder, Colorado 80309, USA

<sup>b</sup> National Oceanic and Atmospheric Administration, Restoration Center, Gloucester, Massachusetts, USA

<sup>c</sup> Department of Earth and Environmental Sciences, Boston College, Chestnut Hill, Massachusetts, USA

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# Hydroclimatic flood trends in the northeastern United States and linkages with large-scale atmospheric circulation patterns

William H. Armstrong<sup>1</sup>, Mathias J. Collins<sup>2</sup> and Noah P. Snyder<sup>3</sup>

<sup>1</sup>*INSTAAR and Department of Geological Sciences, University of Colorado at Boulder, Boulder, Colorado 80309, USA*  
[william.armstrong@colorado.edu](mailto:william.armstrong@colorado.edu)

<sup>2</sup>*National Oceanic and Atmospheric Administration, Restoration Center, Gloucester, Massachusetts, USA*

<sup>3</sup>*Department of Earth and Environmental Sciences, Boston College, Chestnut Hill, Massachusetts, USA*

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**Abstract** We evaluate flood magnitude and frequency trends across the Mid-Atlantic USA at stream gauges selected for long record lengths and climate sensitivity, and find field significant increases. Fifty-three of 75 study gauges show upward trends in annual flood magnitude, with 12 showing increases at  $p < 0.05$ . We investigate trends in flood frequency using partial duration series data and document upward trends at 75% of gauges, with 27% increasing at  $p < 0.05$ . Many study gauges show evidence for step increases in flood magnitude and/or frequency around 1970. Expanding our study area to include New England, we find evidence for lagged positive relationships between the winter North Atlantic Oscillation phase and flood magnitude and frequency. Our results suggest hydroclimatic changes in regional flood response that are related to a combination of factors, including cyclic atmospheric variability and secular trends related to climate warming affecting both antecedent conditions and event-scale processes.

**Key words** flooding; hydroclimatology; Mid-Atlantic USA; northeastern USA; partial duration series

## Tendances hydroclimatiques dans les inondations du Nord-Est des Etats-Unis et liens avec les structures de la circulation atmosphérique à grande échelle

**Résumé** Nous avons évalué les tendances de l'intensité et de la fréquence des crues à travers les Etats américains du Mid-Atlantique, au niveau de stations de jaugeage sélectionnées pour leurs longues séries d'enregistrement et leur sensibilité au climat. Nous avons mis en évidence des augmentations significatives sur le terrain. 53 des 75 stations de l'étude montrent des tendances à la hausse de l'intensité des crues annuelles, dont 12 présentent une augmentation avec une probabilité de rejet  $p < 0,05$ . Nous avons étudié les tendances dans la fréquence des crues à partir de séries de durées partielles et avons décrit des tendances à la hausse pour 75% des stations, 27% augmentant avec une probabilité de rejet  $p < 0,05$ . De nombreuses stations étudiées indiquent des augmentations par palier dans l'intensité et / ou la fréquence des crues autour de l'année 1970. En étendant notre zone d'étude à la Nouvelle-Angleterre, nous avons mis en évidence des relations décalées positives entre la phase hivernale de l'oscillation nord-atlantique et l'intensité et la fréquence des crues. Nos résultats suggèrent des changements hydroclimatiques dans les crues à l'échelle régionales, qui sont liés à une combinaison de facteurs, incluant la variabilité atmosphérique cyclique et des tendances séculaires liées au réchauffement climatique, qui affectent à la fois les conditions antérieures et les processus à l'échelle événementielle.

**Mots clefs** inondations ; hydroclimatologie ; Etats-Unis Mid-Atlantique ; Nord-Est des Etats-Unis ; série de durées partielles

## 1 INTRODUCTION

There has been considerable interest in evaluating United States (US) streamflow trends because of anticipated changes in the hydrologic cycle due to anthropogenic climate change. Lins and Slack (1999, 2005) showed systematic increases in minimum and

median flow quantiles on streams selected for climate sensitivity throughout the eastern and central US, while the western US showed few trends in either direction. Other studies corroborated these findings (Douglas *et al.* 2000, McCabe and Wolock 2002, Hodgkins and Dudley 2005). Trends in high flow quantiles have been less clear. Groisman *et al.*

(2001) documented increasing trends in monthly mean streamflow during the month of maximum streamflow in the eastern US. Vogel *et al.* (2011) found increases in annual maximum instantaneous peak flow at 13% of 1588 climate-sensitive stream gauges across the conterminous US. However, several other studies found no clear trend in high streamflow variables such as: 90th percentile daily mean (Lins and Slack 1999, 2005), annual maximum daily mean (Lins and Slack 1999, 2005, Douglas *et al.* 2000, McCabe and Wolock 2002, Rice and Hirsch 2012), ‘annual high flow’ (Small *et al.* 2006), and annual maximum instantaneous peak (Villarini *et al.* 2009, Villarini and Smith 2010).

On a regional scale in New England, however, a less ambiguous picture of hydroclimatic trends in high flows is emerging. Collins (2009) found increasing trends in annual maximum instantaneous peak discharge, while Armstrong *et al.* (2012) found increasing trends in flood frequency. Hodgkins (2010) corroborated the findings of Collins (2009) and further showed the importance of using recent flood records to obtain conservative statistical flood frequency estimates. These increasing flood trends coincide with a pronounced increase in annual precipitation over the 20th century throughout much of the US (Karl and Knight 1998). The precipitation trend is especially strong in the northeastern US and has occurred through a disproportionate increase in the frequency and intensity of heavy precipitation events (Karl and Knight 1998, Groisman *et al.* 2001, 2004, Madsen and Figdor 2007, Spierre and Wake 2010, Douglas and Fairbank 2011). Interestingly, the northeastern US is also a region where Hirsch and Ryberg (2012) show results that suggest a positive relationship between global mean carbon dioxide concentration and flood magnitude.

We believe these New England results more clearly show upward hydroclimatic flood trends that are congruent with the observed precipitation trends for a number of reasons. First, our New England investigations (Collins 2009, Armstrong *et al.* 2012) and those of Hodgkins (2010) used more gauges than the earlier investigations that had larger spatial domains (i.e. Lins and Slack 1999, 2005, Small *et al.* 2006, Villarini *et al.* 2009). Second, these studies were able to make use of data through 2006, which in some cases added more than 10 years of recent data. Douglas and Fairbank (2011) recently showed the importance of the most recent years in the record for their analyses of precipitation extremes in the region. Finally, the New England investigations made use of stream gauges

carefully selected for having flood regimes that are as natural as possible. In contrast, Villarini *et al.* (2009), Villarini and Smith (2010), and Rice and Hirsch (2012) investigated flooding trends in the eastern US using record length as their primary criterion for selecting gauges. As a result, many of their gauges were affected by flood flow regulation, which limited their ability to draw conclusions about the influence of hydroclimatic variability (natural variability and/or anthropogenic climate change) in observed trends. We recognize that we cannot rule out all land-use and flow manipulation effects on floods at our New England stream gauges without highly detailed historical watershed analyses for each. However, we believe the methods we employed in that region, and extend to this study, minimize the risk of confusing anthropogenic changes in watershed runoff properties and regulation with hydroclimatic changes (see also a similar discussion in Hirsch and Ryberg 2012).

In this study, we investigate the Mid-Atlantic region (MAR) of the US, which, like New England, has been characterized by increases in total annual- and heavy precipitation (Groisman *et al.* 2001, 2004, Madsen and Figdor 2007, Seager *et al.* 2012). This extension substantially increases the number of climate sensitive gauges with long records that we can analyse in the northeastern United States—a region where any observed hydroclimatic changes in flood magnitudes and frequencies will have important consequences for human communities—and enables new analyses, interpretations, and comparisons with other recent national and regional investigations looking at climate–flood relationships via different metrics and using different criteria to select stream gauges for analyses (e.g. Smith *et al.* 2010, Villarini and Smith 2010, Hirsch and Ryberg 2012). For example, our expanded regional dataset better enables us to analyse the potential influences of large-scale atmospheric circulation patterns and we do so using an approach employed by Tootle *et al.* (2005). Hirsch and Ryberg (2012) encourage a wide range of empirical approaches to hydroclimatic analyses of flood trends, and recommend detailed regional analyses like those presented here to potentially identify patterns not evident via other analytical approaches.

We first investigate MAR trends in flood magnitude using the annual maximum series (AMS; i.e. time series of the largest instantaneous discharge of the water year). We then investigate trends in flood frequency using partial duration series (PDS) data. The PDS includes all floods over a specified threshold discharge and thus contains more data than the

annual series, especially for low-magnitude floods, which are important for channel morphology and aquatic habitat (Leopold and Wolman 1960, Poff 2002, Armstrong *et al.* 2012). By summing the number of threshold exceedances annually, we have a direct measure of flood frequency for trend analyses.

In addition to investigating monotonic trends, we also evaluate step changes in flood magnitude and/or frequency around 1970—a date around which many hydrologic studies have found step increases in streamflow and precipitation in the eastern US (McCabe and Wolock 2002, Mauget 2003, Collins 2009, Villarini and Smith 2010, Armstrong *et al.* 2012, Rice and Hirsch 2012). We then expand our study region to include the entire northeastern US draining to the Atlantic Ocean by adding New England flood data from previous research (Collins 2009, Armstrong *et al.* 2012) to investigate potential linkages between large-scale atmospheric circulation patterns and observed regional flooding trends on a larger spatial scale. Previous studies show some evidence of linkages between the phase of the North Atlantic Oscillation (NAO) and northeastern US hydroclimate (Bradbury *et al.* 2002a, 2002b, Tootle *et al.* 2005, Kingston *et al.* 2007, Smith *et al.* 2011), including lagged relationships with flood magnitude and frequency (Collins 2009, Armstrong *et al.* 2012). There is also some work that suggests an El Niño-Southern Oscillation (ENSO) influence in the northeastern US: Tootle *et al.* (2005) documented ENSO effects on annual streamflow medians in the region while Smith *et al.* (2011) found ENSO linkages with heavy rainfall.

## 2 METHODS

### 2.1 Flood series

The AMS records the largest instantaneous peak discharge in each water year (WY). It is the most widely used time series for floods because it typically satisfies the independence assumptions necessary for many statistical tests and it is well suited to estimating the discharges of infrequent, high magnitude flood events, which are important for design and risk assessments. It is also well suited for the purpose we employ it: investigating flood magnitude trends.

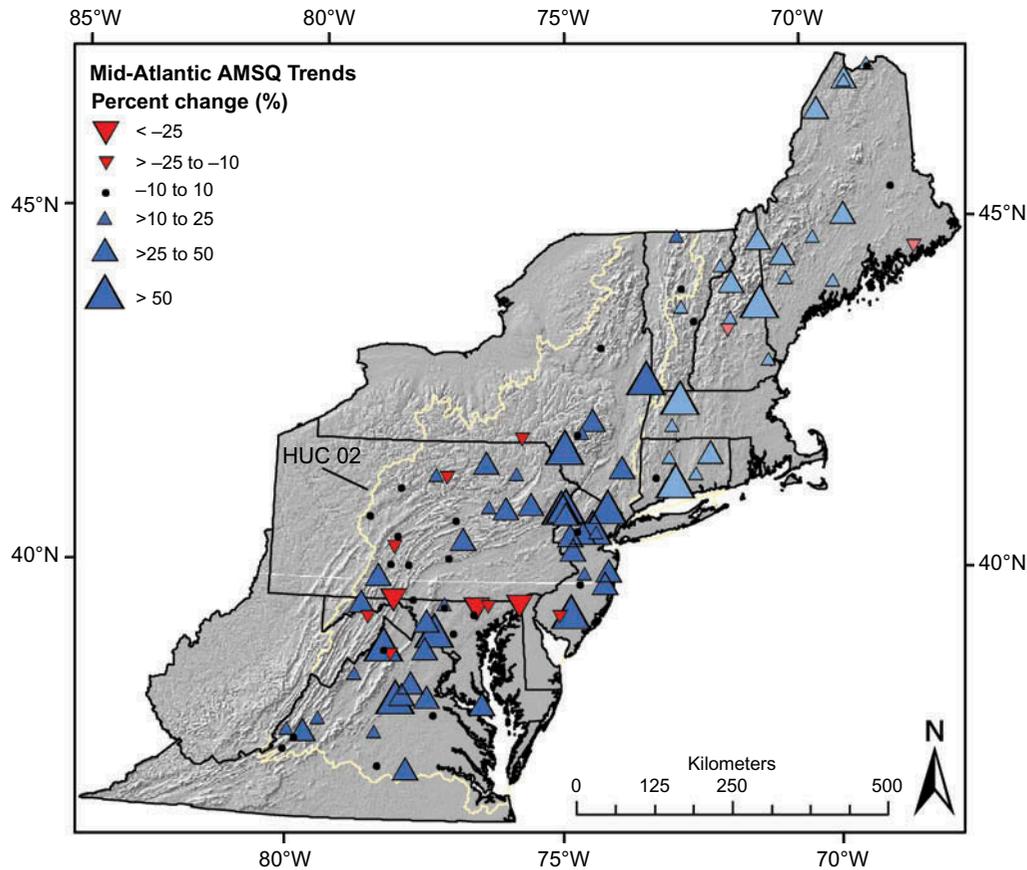
A PDS, on the other hand, includes all discharges over a specified threshold discharge (TD). The TD can be established *a posteriori* by the data analyst or may be set by a data collection and dissemination entity. For this study, we use PDS

available from the US Geological Survey (USGS) that are defined by the TDs they established for each gauge. The USGS typically sets the TD for a stream at a value expected to be exceeded 3–4 times per WY (Langbein 1949). Any time the TD is exceeded, the event is identified as a ‘partial peak’ and included in the PDS after satisfying USGS data quality reviews. The PDS typically includes many more events per year than the AMS, and the number of annual TD exceedances per year can be used as a direct measure of flood frequency. Hereafter, we refer to each TD exceedance as a ‘peak over threshold’, or POT, and we use the number of POT occurring in a WY (POT/WY) to investigate flood frequency trends.

### 2.2 Gauge selection

In this study we define the MAR by the boundaries of the USGS Hydrologic Unit Code 02 (HUC 02), which includes all of New Jersey, Delaware, Maryland and the District of Columbia, and parts of Vermont, New York, Virginia, West Virginia, Massachusetts and Connecticut (Fig. 1). We carefully select stream gauges with flood regimes that are as natural as possible and are thus sensitive to changes in hydroclimate. To do so, we begin with a pool of gauges from the USGS Hydro-Climatic Data Network (HCDN; accessible at <http://pubs.usgs.gov/wri/wri934076/>), which the USGS identifies as being relatively free of human influence (Slack and Landwehr 1992). HCDN gauges are located in watersheds with minimal land-use change, extreme groundwater withdrawal, and flow regulation during the periods of record Slack and Landwehr (1992) analysed. We impose additional criteria to ensure a gauge set with minimal anthropogenic alteration of flood flows, adequate record lengths to support our questions of interest and the statistical methods we use to investigate them, and overall data quality.

We review gauge metadata in detail, including USGS annual water data reports and peak discharge qualification codes, and remove any gauge records that have (1) evidence of peak flow regulation and/or diversion (e.g. peak discharge qualification codes 5 or 6); (2) fewer than 59 years of record (i.e. all records start in WY 1951 or earlier to ensure adequate record length before 1970 for our step change investigation); (3) records ending before WY 2009; or (4) more than 5% missing data (i.e. no more than 5 missing annual peaks in a 100 year record). Our longest span of consecutive missing years is three



**Fig. 1** Spatial distribution of trends in flood magnitude (AMSQ), represented as percent change over the period of record for each gauge. Darker colored symbols are new data presented in this study. Lighter colored symbols are HUC 01 (New England) streams from Collins (2009) and are presented here to provide regional context. New England symbol sizes are scaled with the same criteria as Mid-Atlantic symbols.

years, which occurs only at one gauge. Ninety-five percent of our gauges have one missing year or fewer. Additionally, we remove several gauges for unique disqualifiers. For example, we exclude a New Jersey gauge record because dredging and channel realignment around 1960, reported in the state annual water data reports, could have affected peak discharges.

Seventy-five MAR gauges have AMS records that satisfy these criteria (Table 1). The study gauges are fairly well distributed throughout the study region, though southeastern Pennsylvania, eastern Maryland, and Delaware are unrepresented, likely due to significant urbanization in these areas. We obtain AMS discharge data (AMSQ) for the study gauges from the National Water Information System (NWIS; accessible at <http://nwis.waterdata.usgs.gov/usa/nwis/peak/>). Eight gauges did not maintain POT data for significant portions of their periods of record or had TD changes that affected data quality, leaving 67 gauges for POT/WY trend analyses. POT data are

not available through NWIS, so we obtain these data directly from USGS state Water Science Centers. The shortest period of record we use is 61 years, and the longest is 102 years, with an average record length of around 80 years (Table 1). The rivers drain a wide range of watershed sizes, from 62 to 10 550 km<sup>2</sup>, with a median basin size of about 450 km<sup>2</sup>.

### 2.3 Trend analyses

Before formal statistical testing, we conduct exploratory data analyses using scatter plots and other data visualizations to detect potential errors in the data and ensure that they satisfy the assumptions of the tests we employ (Helsel and Hirsch 2002). Flood data are frequently non-normally distributed, so we have chosen non-parametric tests that require no distributional assumptions.

We use the Mann-Kendall trend test (MK) to detect monotonic trends in AMSQ and POT/WY. The MK is a non-parametric, rank-based test that

**Table 1** Trends in Mid-Atlantic flood magnitude (AMSQ) and frequency (POT/WY). Bold font indicates statistical tests with  $p$ -values  $< 0.05$ .

USGS Gauge no.	River (State)	Drainage area (km <sup>2</sup> )	Record Length (years)	AMSQ		POT/WY <sup>(a)</sup>		MK $p$ -value <sup>(c)</sup>	MK tau	MK $p$ -value <sup>(c)</sup>	RS dir.	RS $p$ -value <sup>(c)</sup>
				MK <sup>(b)</sup> tau	MK trend mag. (%) <sup>(c)</sup>	RS $p$ -value	RS dir.					
01321000	Sacandaga (NY)	1272	98	0.048	9.1	0.490	+	0.418	0.161	0.050	+	0.110
01334500	Hoosic (NY)	1321	99	<b>0.228</b>	<b>50.9</b>	<b>0.001</b>	+	<b>&lt;0.001</b>	<b>0.231</b>	<b>0.004</b>	+	<b>0.006</b>
01350000	Schoharie (NY)	614	80	0.117	49.9	0.126	+	0.052	<b>0.184</b>	<b>0.018</b>	+	<b>0.018</b>
01372500	Wappinger (NY)	469	81	0.088	28.0	0.247	+	0.217	0.149	0.056	+	<b>0.024</b>
01379500	Passaic (NJ)	259	73	0.094	18.5	0.245	+	<b>0.034</b>	0.116	0.196	+	<b>0.024</b>
01381500	Whippany (NJ)	76	89	<b>0.209</b>	<b>60.6</b>	<b>0.004</b>	+	<b>&lt;0.001</b>	<b>0.418</b>	<b>&lt;0.001</b>	+	<b>&lt;0.001</b>
01387500	Ramapo (NJ)	311	87	<b>0.174</b>	<b>69.5</b>	<b>0.017</b>	+	<b>0.003</b>	<b>0.209</b>	<b>&lt;0.001</b>	+	<b>&lt;0.001</b>
01396500	Raritan (NJ)	169	91	<b>0.148</b>	<b>46.0</b>	<b>0.039</b>	+	<b>0.001</b>	<b>0.195</b>	<b>0.012</b>	+	<b>&lt;0.001</b>
01398000	Neshanic (NJ)	67	79	0.113	35.1	0.143	+	<b>0.041</b>	0.061	0.484	+	0.142
01398500	Raritan (NJ)	68	88	<b>0.146</b>	<b>46.7</b>	<b>0.045</b>	+	<b>0.001</b>	<b>0.226</b>	<b>&lt;0.001</b>	+	<b>&lt;0.001</b>
01399500	Lamington (NJ)	85	89	0.010	3.0	0.891	+	0.126	0.121	0.128	+	<b>&lt;0.001</b>
01408000	Manasquan (NJ)	114	78	0.118	29.0	0.127	+	0.104	0.027	0.712	+	0.214
01408500	Toms (NJ)	319	82	0.137	32.8	0.071	+	0.310	NA	NA	NA	NA
01411000	Great Egg Harbor (NJ)	148	84	<b>0.147</b>	<b>51.8</b>	<b>0.048</b>	+	0.071	NA	NA	NA	NA
01411500	Maurice (NJ)	290	78	-0.109	-24.0	0.162	-	0.531	-0.105	0.194	-	0.802
01413500	Delaware (NY)	422	73	0.040	13.1	0.620	+	0.274	0.144	0.108	+	<b>0.010</b>
01414500	Mill (NY)	65	73	-0.028	-8.1	0.728	-	0.795	0.160	0.102	+	0.192
01420500	Beaver Kill (NY)	624	96	<b>0.335</b>	<b>161.4</b>	<b>&lt;0.001</b>	+	<b>&lt;0.001</b>	<b>0.219</b>	<b>0.008</b>	+	<b>0.008</b>
01439500	Bush Kill (PA)	303	101	<b>0.176</b>	<b>50.1</b>	<b>0.009</b>	+	<b>0.013</b>	<b>0.191</b>	<b>0.004</b>	+	<b>0.002</b>
01440000	Flat (NJ)	166	86	<b>0.173</b>	<b>55.8</b>	<b>0.019</b>	+	0.061	<b>0.150</b>	<b>0.042</b>	+	<b>&lt;0.001</b>
01443500	Paulins Kill (NJ)	326	89	0.108	29.5	0.143	+	0.337	<b>0.150</b>	<b>0.044</b>	+	<b>0.004</b>
01447500	Lehigh (PA)	238	68	0.070	25.5	0.412	+	0.156	NA	NA	NA	NA
01464500	Crosswicks (NJ)	211	70	0.088	24.0	0.288	+	0.129	0.046	0.608	+	0.278
01467000	Rancoeas (NJ)	306	69	-0.029	-7.3	0.735	-	0.833	-0.129	0.148	-	0.544
01495000	Big Elk (MD)	136	79	-0.113	-30.8	0.148	-	0.384	-0.059	0.492	+	0.818
01503000	Susquehanna (NY)	5781	98	-0.093	-13.9	0.178	-	0.402	-0.040	0.594	+	0.490
01532000	Towanda (PA)	557	96	0.098	36.3	0.157	+	0.088	0.088	0.196	+	0.056
01534000	Tunkhannock (PA)	992	96	0.050	14.8	0.470	+	0.319	0.054	0.500	+	0.208
01538000	Wapwallopen (PA)	113	90	0.139	44.8	0.053	+	<b>0.019</b>	<b>0.215</b>	<b>0.004</b>	+	<b>0.002</b>
01539000	Fishing (PA)	710	72	0.085	21.9	0.303	+	0.326	0.132	0.142	+	0.106
01541000	Susquehanna (PA)	816	96	-0.037	-7.2	0.599	-	0.870	-0.084	0.262	+	0.780
01543500	Simmehoning (PA)	1774	71	-0.032	-6.0	0.695	-	0.817	0.124	0.152	+	0.060
01548500	Pine (PA)	1564	91	0.094	22.4	0.189	+	0.277	0.082	0.280	+	0.170
01549500	Blockhouse (PA)	98	69	-0.045	-12.8	0.587	-	0.957	NA	NA	NA	NA
01555000	Penns (PA)	780	80	-0.046	-9.2	0.547	+	0.554	0.108	0.164	+	0.054
01555500	Mahantango (PA)	420	80	0.112	32.1	0.144	+	<b>0.003</b>	0.121	0.114	+	0.098
01556000	Juniata (PA)	754	94	-0.077	-16.2	0.279	-	0.336	-0.114	0.158	-	0.480
01558000	Juniata (PA)	570	72	0.001	0.0	0.992	+	0.835	-0.061	0.546	-	0.814
01560000	Dunning (PA)	445	71	0.112	29.3	0.173	+	0.072	0.128	0.148	+	<b>0.020</b>
01562000	Juniata (PA)	1958	98	-0.001	0.0	0.990	+	0.214	0.025	0.702	+	0.130
01564500	Aughwick (PA)	531	71	-0.002	-0.3	0.988	+	0.813	-0.027	0.700	+	0.262

(Continued)

Table 1 (Continued)

USGS Gauge no.	River (State)	Drainage area (km <sup>2</sup> )	Record Length (years)	AMSQ		POT/WY <sup>(a)</sup>						
				MK <sup>(b)</sup> tau	MK trend mag. (%)( <sup>c</sup> )	MK p-value	RS <sup>(d)</sup> dir.	RS p-value	MK tau	MK p-value( <sup>e</sup> )	RS dir.	RS p-value( <sup>e</sup> )
01568000	Sherman (PA)	518	80	0.001	0.0	0.990	+	0.851	0.110	0.188	+	0.074
01580000	Deer (MD)	244	84	-0.112	-23.0	0.136	-	0.739	-0.143	0.064	-	0.496
01582000	Little Falls (MD)	137	66	-0.124	-27.1	0.146	-	0.538	-0.073	0.402	-	0.470
01583500	Western (MD)	155	66	-0.006	-3.2	0.946	+	0.363	-0.010	0.972	+	0.152
01591000	Patuxent (MD)	90	66	0.000	-0.2	1.000	+	0.398	0.034	0.680	+	0.330
01601500	Wills (MD)	640	81	0.094	27.0	0.220	+	0.227	<b>0.177</b>	<b>0.032</b>	+	< <b>0.001</b>
01608500	Potomac (WV)	3810	81	-0.039	-10.2	0.607	+	0.499	NA	NA	NA	NA
01613000	Potomac (MD)	10549	78	-0.125	-26.9	0.109	-	0.600	-0.030	0.724	+	0.376
01614500	Conococheague (MD)	1279	82	0.014	2.9	0.861	+	0.398	0.006	0.898	+	0.168
01631000	Shenandoah (VA)	4253	79	-0.063	-21.1	0.414	+	0.471	-0.021	0.768	+	0.440
01632000	Shenandoah (VA)	544	84	0.052	18.0	0.489	+	0.154	0.007	0.952	+	0.148
01634000	Shenandoah (VA)	1989	84	0.000	0.0	1.000	+	0.146	0.017	0.862	+	0.196
01634500	Cedar (VA)	267	72	<b>0.187</b>	<b>73.7</b>	<b>0.020</b>	+	< <b>0.001</b>	<b>0.289</b>	< <b>0.001</b>	+	< <b>0.001</b>
01637500	Catoctin (MD)	173	63	0.081	30.0	0.356	+	0.120	-0.008	0.934	+	0.220
01639000	Monocacy (MD)	448	69	0.093	19.8	0.264	+	0.120	0.054	0.548	+	0.096
01639500	Big Pipe (MD)	264	63	-0.014	-2.5	0.879	+	0.484	0.106	0.246	+	0.164
01643500	Bennett (MD)	163	62	0.146	53.8	0.100	+	<b>0.007</b>	NA	NA	NA	NA
01644000	Goose (VA)	860	80	0.073	27.1	0.343	+	0.131	0.146	0.078	+	<b>0.018</b>
01661500	St Marys (MD)	62	64	0.092	40.2	0.296	+	0.390	<b>0.220</b>	<b>0.026</b>	+	<b>0.046</b>
01664000	Rappahannock (VA)	1606	67	0.085	31.2	0.316	+	<b>0.031</b>	NA	NA	NA	NA
01666500	Robinson (VA)	464	67	<b>0.167</b>	<b>108.9</b>	<b>0.049</b>	+	<b>0.002</b>	0.115	0.188	+	<b>0.004</b>
01667500	Rapidan (VA)	1222	79	0.072	30.8	0.349	+	<b>0.017</b>	<b>0.232</b>	<b>0.006</b>	+	< <b>0.001</b>
01668000	Rappahannock (VA)	4134	102	0.097	26.7	0.157	+	<b>0.020</b>	<b>0.158</b>	<b>0.020</b>	+	<b>0.004</b>
01674000	Mattaponi (VA)	666	67	0.022	4.3	0.795	+	0.258	0.164	0.066	+	<b>0.018</b>
02013000	Dunlap (VA)	425	81	0.064	14.7	0.401	+	0.079	-0.004	0.964	+	0.076
02016000	Cowpasture (VA)	1194	84	<b>0.151</b>	<b>45.9</b>	<b>0.043</b>	+	<b>0.026</b>	<b>0.183</b>	<b>0.024</b>	+	< <b>0.001</b>
02017500	Johns (VA)	269	83	-0.043	-7.8	0.568	+	0.452	-0.141	0.132	-	0.666
02018000	Craig (VA)	852	84	0.026	5.8	0.734	+	0.087	-0.043	0.624	+	0.398
02020500	Calpasture (VA)	373	71	0.031	12.7	0.709	+	0.139	0.027	0.774	+	<b>0.038</b>
02030000	Hardware (VA)	300	71	0.059	24.1	0.475	+	0.100	<b>0.198</b>	<b>0.012</b>	+	<b>0.004</b>
02039500	Appomattox (VA)	785	84	0.025	8.9	0.746	+	<b>0.013</b>	NA	NA	NA	NA
02041000	Deep (VA)	409	63	0.106	41.2	0.224	+	<b>0.010</b>	0.093	0.310	+	<b>0.018</b>
04287000	Dog (VT)	197	75	0.011	2.3	0.891	+	0.213	0.064	0.448	+	0.094
04293500	Missisquoi (VT)	1241	82	0.089	13.3	0.242	+	0.088	0.036	0.668	+	0.484

<sup>(a)</sup>Eight gauges did not have POT records for a significant portion of their periods of record and are excluded from POT/WY trend analyses. <sup>(b)</sup>Mann-Kendall trend test. <sup>(c)</sup>MK trend magnitude is calculated via Sen slope. <sup>(d)</sup>Wilcoxon rank-sum test. <sup>(e)</sup>POT/WY p-values are computed using a Monte Carlo method.

describes the strength of the relationship between time and a variable of interest (e.g. AMSQ and POT/WY) (Helsel and Hirsch 2002). It is widely employed in hydrologic change investigations. We estimate the magnitude of AMSQ trends using the non-parametric Kendall-Theil robust line with Sen slope. We do not calculate trend magnitude for POT/WY trends because the discrete form of POT data results in many ties between compared points, which confounds the test statistic.

We use the non-parametric Wilcoxon rank-sum test to investigate step changes in AMSQ and POT/WY because it is well suited for situations when the time of change is known or expected (Helsel and Hirsch 2002, Kundzewicz and Robson 2004). For each gauge, we separate the record into two sub-series at 1970, a year around which many studies have noted step changes in hydrologic variables in the northeastern United States (McCabe and Wolock 2002, Mauget 2003, Collins 2009, Hodgkins 2010, Villarini and Smith 2010, Armstrong *et al.* 2012). For our analyses, the pre-1970 sub-series begins at the start of the record and continues through the end of WY 1970 (September 30, 1970). The post-1970 sub-series begins at the start of WY 1971 and ends with WY 2009. The rank-sum test compares these two samples and evaluates whether one sample consistently tends to produce larger values than the other, which would suggest the samples come from two distinct populations. We use a  $p < 0.05$  threshold to identify gauges with comparatively strong evidence for step changes in AMSQ and POT/WY.

## 2.4 Persistence and spatial correlation

Statistical tests that assume data are independent and identically distributed, such as the MK and rank-sum tests, may overestimate trend significance (i.e. show lower  $p$ -values) if the data are positively autocorrelated (Yue *et al.* 2002). Autocorrelation can affect time series over long and/or short periods, which we refer to here as long term persistence (LTP) and serial correlation, respectively. The magnitude and direction of the MK and rank-sum test statistics are not affected by positive autocorrelation—only the estimates of their statistical significance.

To evaluate whether serial correlation affects our AMSQ time series, we use locally weighted regression (LOESS) with a smoothing parameter of 0.5 and compute Kendall's tau between the residuals and lag-one residuals (Cleveland and Devlin 1988, Helsel and Hirsch 2002, Collins 2009, Armstrong *et al.*

2012). As expected, we found no evidence for lag-one serial correlation in any of our AMSQ records at a  $p < 0.05$  threshold.

POT data can show positive serial correlation in magnitude (i.e. the magnitude of one flood affects that of a subsequent flood, suggesting they may be generated by the same meteorological event) and/or in frequency (i.e. independent floods can occur in temporal clusters). However, as described below, relatively modest additional analyses and data processing can reduce the serial dependencies in these data series without significant data loss and subsequently employing resampling methods can permit robust  $p$ -value estimates.

Although the USGS performs quality control reviews on POT data and makes efforts to ensure the independence of sequential flood events (Julie Kiang, USGS, 2009, personal communication), we impose an additional criterion. We use centroid lag to peak ( $T_{LPC}$ ) as a rough measure of watershed response time to remove temporally close POT that may have been caused by the same meteorological event. We calculate  $T_{LPC}$ , in hours, with the formula:

$$T_{LPC} = 0.6[(L^{1.15})/(7700 \times H^{0.38})] \quad (1)$$

where  $L$  is the length of the longest flow path in the watershed and  $H$  is the difference in elevation along that flow path (Dingman 2002). We estimate the parameters to calculate  $T_{LPC}$  for 15 representative drainages using the USGS StreamStats program (accessible at <http://water.usgs.gov/osw/streamstats/>).  $T_{LPC}$  generally increased with drainage area, and we were able to fit a linear equation to describe the relationship ( $r^2 = 0.93$ ), which we use to estimate  $T_{LPC}$  for the remainder of our study watersheds. We then multiplied the  $T_{LPC}$  for each watershed by three ( $3 \times T_{LPC}$ ) and rounded up to the nearest integer (day) to obtain a conservative estimate of watershed response time. We flag any POT occurring within  $3 \times T_{LPC}$  of the previous POT as part of a cluster and we then retain from each cluster the POT with the highest magnitude. Other studies using POT data have employed similar exclusion methods to remove temporally close POTs and assure that each data point in the time series is an independent flood event (Cunnane 1979, Svensson *et al.* 2005, Petrow and Merz 2009, Villarini *et al.* 2012).

POT time series that have been processed as described above to assure independent flood events may still exhibit serial correlation in frequency. That

is, some water years may have higher numbers of independent flood events than others such that events are not randomly distributed in time (Cunnane 1979, Robson *et al.* 1998). To address these dependencies in the time series, for all statistical analyses of our POT data we employ Monte Carlo resampling methods to estimate trend significance directly from the data and thus require no distributional, or independence, assumptions. Using methods described by Robson *et al.* (1998) and Kundzewicz and Robson (2000), we resample our POT/WY time series without replacement (permutation) 1000 times in WY blocks to construct null distributions for our respective test statistics (our results at 1000 iterations are stable as demonstrated by resampling the time series 10000 times and achieving nearly identical results for all tests). We then estimate the  $p$ -value for each test by comparing the test statistic calculated from the original time series to the null distribution of the test statistic generated through resampling. Test statistics lying near the extremes of the null distribution have lower  $p$ -values and provide stronger evidence for rejecting the null hypothesis of no change or trend (Kundzewicz and Robson 2000).

Several recent studies have also highlighted the similar effects LTP can have on trend significance estimates, while trend direction and magnitude are unaffected (Yue *et al.* 2002, Cohn and Lins 2005, Koutsoyiannis and Montanari 2007). Because our record lengths are too short to either confirm or discount LTP in our time series (Villarini *et al.* 2009), we present  $p$ -values as indicators of relative trend strength but do not emphasize statistical significance. We evaluate relative trend strength using  $p < 0.05$  as a threshold. This approach allows us to present our results in a manner consistent with many earlier hydrologic trend studies and facilitates the identification of trends that may be important over engineering and planning time scales ( $10^1$ – $10^2$  years) even if they may not be statistically significant over longer time periods ( $>10^2$  years) (Collins 2009, Douglas and Fairbank 2011, Armstrong *et al.* 2012).

Lettenmaier *et al.* (1994) and Douglas *et al.* (2000) showed that spatial correlation can substantially reduce the number of independent sites in streamflow trend analyses. However, as with many previous trend studies, we do not evaluate trends using a single regional test statistic and therefore we do not need to explicitly account for spatial correlation (McCabe and Wolock 2002, Lins and Slack 2005, Collins 2009, Armstrong *et al.* 2012). Moreover, we evaluate the global, or field,

significance of our flood trend results using two methods described by Wilks (2006) that are robust to spatial correlation: the Walker test (WT) and the false discovery rate (FDR) method.

## 2.5 Investigating potential linkages with large-scale atmospheric circulation patterns

### 2.5.1 Atmospheric circulation indices

Collins (2009) and Armstrong *et al.* (2012) found positive, lagged correlations between NAO phase and flood magnitude and frequency in New England. We expand upon these studies by adding MAR stream gauges and investigating NAO and ENSO linkages with AMSQ and POT/WY for the entire northeastern US (HUC 01 and HUC 02) using a method adapted from Tootle *et al.* (2005). This method allows us to examine the effect of phase couplings between the NAO and ENSO and to examine flood linkages at a finer spatial scale (Collins (2009) and Armstrong *et al.* (2012) used regionally averaged flood data).

The NAO describes an exchange of atmospheric mass between a high-pressure centre approximately located over the Azores Islands and a low-pressure centre over Iceland. The NAO index quantifies the strength of the pressure gradient between these two centres of action, where a positive (negative) phase indicates anomalously strong (weak) pressure centres. The magnitude of the gradient influences the strength of the prevailing westerlies, surface air temperature, sea-surface temperature (SST), storm tracks, moisture transport, and other climatological variables in the northeastern US (Hurrell *et al.* 2003, Hurrell and Deser 2010). The NAO phase exhibits decadal persistence despite significant intra- and interannual variability (Hurrell *et al.* 2003). The early 20th century was characterized by positive NAO departures, followed by frequent negative NAO events through the 1950s–1960s, and then a reversal to predominantly positive NAO events after the 1970s (Hurrell *et al.* 2003). We use the principal component (PC) based NAO index averaged over the months of December to March (DJFM), obtained from the National Center for Atmospheric Research (NCAR; accessible at <http://www.cgd.ucar.edu/cas/jhurrell/indices.data.html>). The PC based index is composed of the leading empirical orthogonal function of sea level pressure anomalies over the Atlantic Ocean from 20–80°N and 90°W–40°E. We use the DJFM index because the NAO signal is strongest in the winter, when the temperature gradient between the

poles and equator is steepest (Hurrell *et al.* 2003) and because our recent studies have found lagged associations between the DJFM NAO index but not the NAO index computed for other seasons or annually (Collins 2009, Armstrong *et al.* 2012).

ENSO is a combined oceanic-atmospheric phenomenon related to the strength of the tropical Pacific easterlies. The ENSO phase is known to affect sea level pressure and storm frequency in the eastern US (Rogers 1984, Kunkel and Angel 1999, Hirsch *et al.* 2001). We use the Troup Southern Oscillation Index (SOI), which is the standardized anomaly of the mean difference in sea level pressure between Tahiti and Darwin, Australia, obtained from NCAR (accessible at <http://www.cgd.ucar.edu/cas/catalog/climind/soiAnnual.html>) to quantify the ENSO conditions. We use monthly SOI data that have been normalized using annual means, which produces the maximum signal-to-noise ratio (Trenberth 1984). We also averaged monthly SOI over the December–March period to produce a winter SOI. We use a winter index to be consistent with our NAO analyses and because studies have shown ENSO teleconnections in the mid-latitudes are strongest in the winter (Dettinger *et al.* 2000, Bradbury *et al.* 2002a).

**2.5.2 Testing for NAO/ENSO linkages with northeastern US flooding** We investigate linkages between NAO/ENSO phase and northeastern US floods using data from 1941 to 2006 to maintain relatively long record lengths while keeping a large proportion of our study gauges and to be consistent with our earlier work (Collins 2009, Armstrong *et al.* 2012). We investigate NAO/ENSO linkages over a larger spatial area than our flood trend analyses presented here by adding the New England gauges used by Collins (2009) and Armstrong *et al.* (2012). Fifty-two (forty-seven) MAR gauges and 23 (19) New England gauges have AMS (POT) records with adequate length and are included in this portion of the study. Hereafter we refer to New England and the MAR together as simply the northeastern US.

For each of the atmospheric indices, we classify each year of record as positive, negative, or neutral. For the NAO, we define neutral events as years in which the NAO index was between  $-0.2$  and  $+0.2$ . Using this classification yields 26 NAO positive years, 26 NAO negative years, and 14 NAO neutral years (NAO (+); NAO (-); NAO (0), respectively). We recognize that it is more commonplace for hydroclimatic studies that identify a neutral NAO condition to identify larger index thresholds (e.g.  $\pm 0.5$  or  $\pm 1$ )

(Hurrell 2003, Coleman and Budikova 2013). However, our purpose is not to identify high/low, or ‘extreme’, phases of the NAO. Instead, we aim to define conservatively a neutral condition simply to avoid a binary positive or negative assignment when the index is near zero. We experimented with larger threshold values that reasonably define neutral conditions and found that our comparisons of flood response to NAO phase are not very sensitive to the threshold choice. Our choice of  $\pm 0.2$  has the advantage of producing similar relative proportions of positive, negative, and neutral years to those obtained with our definition of a neutral ENSO event. For ENSO, we define a neutral event as a year in which the SOI was between  $-0.8$  and  $+0.8$  (Gershunov and Barnett 1998, Tootle *et al.* 2005). This classification produces 21 SOI positive years, 33 SOI negative years, and 12 SOI neutral years (SOI (+); SOI (-); SOI (0), respectively).

We then perform rank-sum tests between the flood data corresponding with each index phase (e.g. AMSQ from NAO (+) years versus AMSQ from NAO (0) years). We also test for effects of NAO/ENSO phase couplings (Tootle *et al.* 2005). For example, we run a rank-sum test between POT/WY from years in which the NAO and SOI were both in positive phases (NAO (+), SOI (+)) and POT/WY from years when the NAO was positive, but SOI was negative (NAO (+), SOI (-)). This allows us to assess the extent to which these large-scale atmospheric circulation patterns enhance and/or moderate each other. In addition to performing these tests concurrently (i.e. index data paired with flood data from the same water year), we perform all tests described in this section using lag-one flood data (i.e. SOI/NAO index data paired with flood data from the following water year).

### 3 RESULTS

#### 3.1 Mid-Atlantic AMSQ trends

Fifty-three of the 75 MAR study gauges (71%) show upward trends in AMSQ via the Mann-Kendall trend test. Twelve (16%) are increasing at  $p < 0.05$ . Twenty-one gauges (28%) show decreasing trends in AMSQ, none of which have  $p < 0.05$ . One gauge shows no trend (Fig. 1; Table 1). The percent change in AMSQ, estimated via the Kendall-Theil robust line, ranges from  $-31\%$  to  $+161\%$ , with a mean change of  $+20\%$  and a median of  $+19\%$ . Upward trends in AMSQ are generally widespread throughout

the northeastern US and are field significant at  $\alpha = 0.05$  via the WT and FDR methods (Fig. 1). Although it is difficult to separate coastal from orographic effects because of the orientation of the region's topography, we find a negative correlation between trend magnitude and distance from the open Atlantic Ocean, with coastal gauges generally showing greater trend magnitude than inland gauges ( $\tau = -0.196$ ,  $p = 0.013$ ). We note that Smith *et al.* (2010, 2011) have identified orographic effects as playing an important role in extreme flooding in the northeastern US. We find no correlation between trend magnitude and mean basin elevation. As with our earlier work, area-adjusted trend magnitude has an expected significant negative correlation with drainage area ( $\tau = -0.297$ ,  $p < 0.001$ ) (Collins 2009, Armstrong *et al.* 2012).

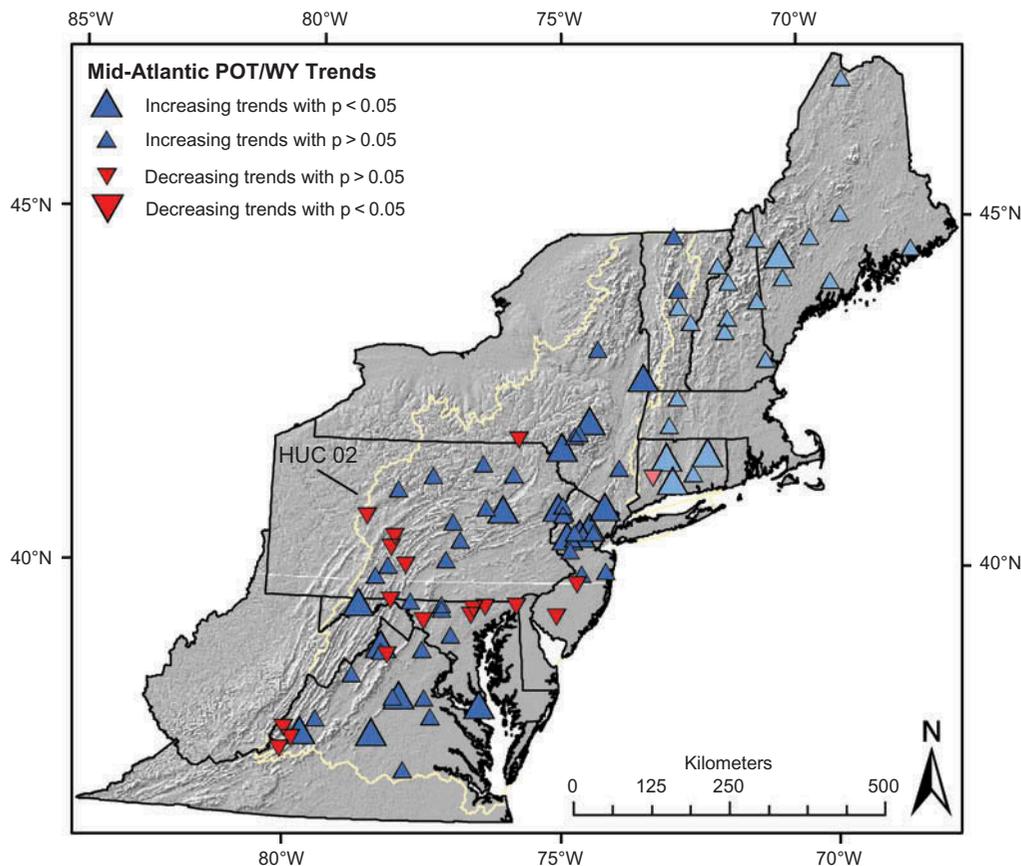
### 3.2 Mid-Atlantic POT/WY trends

Fifty of the 67 gauges (75%) with POT data show increasing trends in POT/WY via the Mann-Kendall

trend test. Eighteen (27%) are increasing at  $p < 0.05$ . Seventeen gauges (25%) show decreasing trends in POT/WY, none of which have  $p < 0.05$  (Table 1). POT/WY trends are distributed in a similar pattern to magnitude trends, with upward trends characterizing much of the MAR, similar to the increases observed in New England (Fig. 2) (Armstrong *et al.* 2012). These results are field significant at  $\alpha = 0.05$  via the WT and FDR methods. However, we find a roughly northwest-southeast oriented sub-region of negative trends running from central Pennsylvania, through northern Maryland, to southern New Jersey.

### 3.3 Evidence for step changes in Mid-Atlantic flood regime

Sixty-three of 75 gauges (84%) have greater AMSQ post-1970 estimated via the Wilcoxon rank-sum test: 20 gauges (27%) have greater post-1970 AMSQ at  $p < 0.05$ , suggesting a step increase in AMSQ around 1970; 12 gauges have smaller AMSQ post-1970, none of which have  $p < 0.05$  (Table 1). The spatial



**Fig. 2** Spatial distribution of trends in flood frequency (POT/WY). Larger symbols indicate trends with  $p < 0.05$ . Darker colored symbols are new data presented in this study. Lighter colored symbols are HUC 01 (New England) streams from Armstrong *et al.* (2012) and are presented here to provide regional context. New England symbol sizes are scaled with the same criteria as Mid-Atlantic symbols.

distribution of step changes generally follows the same pattern as MK trends in AMSQ (not shown).

Sixty gauges of 67 (90%) have more POT/WY post-1970 via the Wilcoxon rank-sum test: 28 gauges (42%) have more POT/WY post-1970 at  $p < 0.05$ , indicating strong evidence for a step increase in POT/WY; and seven gauges (10%) have fewer POT/WY post-1970, none of which have  $p < 0.05$  (Table 1).

Both the AMSQ and POT/WY step-change results are field significant at  $\alpha = 0.05$  via the WT and FDR methods.

### 3.4 NAO linkages with northeastern US flooding

For our investigation of linkages between flooding and large-scale atmospheric circulation, we expand our study area to include New England as well as the MAR. We find no evidence of a linkage between DJFM NAO phase and flooding in the same water year, in agreement with our previous investigations (Collins 2009, Armstrong *et al.* 2012). However, we do find evidence for the DJFM NAO phase affecting AMSQ and POT/WY in the following water year.

NAO (0) and NAO (+) are associated with larger lag-one AMSQ, while NAO (-) is associated with diminished lag-one AMSQ (Table 2). Though most of the study region tends to have larger AMSQ following NAO (+) and NAO (0) years, the central portion shows mixed results, including areas where NAO (-) is associated with larger lagged AMSQ (Figs 3 and 4).

Compared to our AMSQ investigation, we find a higher proportion of gauges show greater POT/WY

following a given NAO phase, with greater proportions of  $p < 0.05$  differences as well (Tables 2–3). Note we use 66 gauges for our investigation of linkages between atmospheric circulation and POT/WY, as opposed to the 75 gauges we use for the AMSQ analysis. NAO (0) events are generally associated with the most POT in the following WY, with the second greatest POT/WY following NAO (+) events. NAO (+) (Fig. 5) and NAO (0) (not shown) are associated with greater lag-one POT/WY throughout the entire northeastern US, although we again find a small cluster of gauges in the central portion of the study area where the signal is not as strong.

### 3.5 Northeastern US flood response to ENSO phase

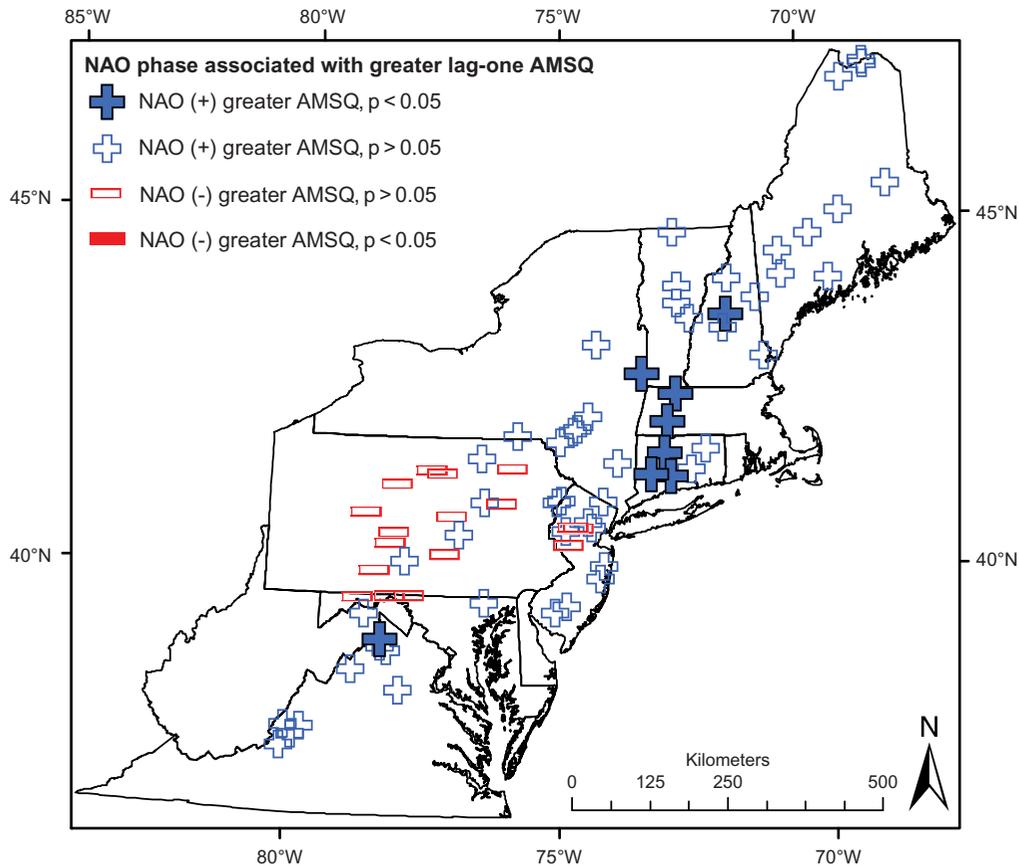
The ENSO shows some evidence of affecting AMSQ in the same water year—the only atmospheric index-flood data pairing to show any effect when analysed concurrently. Fifty-six gauges (75%) have greater AMSQ in SOI (+) years than SOI (-) years, though only one has  $p < 0.05$ . These findings agree with those of Dracup and Kahya (1994) who found SOI (+) to be associated with positive monthly streamflow departures in the northeastern US. Note SOI (+) indicates La Niña-like conditions and SOI (-) indicates El Niño-like conditions. Lagging AMSQ by one year, SOI (-) has a stronger association with greater AMSQ than SOI (+) or SOI (0), though few have  $p < 0.05$  (Table 2). AMSQ is similar following SOI (+) and SOI (0) events. ENSO teleconnections with AMSQ are strongest in the more

**Table 2** Summary of NAO and ENSO linkages with lag-one flood magnitude (AMSQ) in the northeastern US.

	Atmospheric circulation linkages with lag-1 AMSQ <sup>(a)</sup>					
	NAO phase comparison			SOI phase comparison		
	NAO (+) <sup>(c)</sup> vs NAO (-)	NAO (+) vs NAO (0)	NAO (0) vs NAO (-)	SOI (+) vs SOI (-)	SOI (+) vs SOI (0)	SOI (0) vs SOI (-)
No. of gauges where first term <sup>(b)</sup> is associated with greater lag-1 AMSQ:						
All gauges	58	19	57	23	35	29
Significant at $p < 0.05$	8	0	22	0	1	0
No. of gauges where second term is associated with greater lag-1 AMSQ:						
All gauges	17	56	18	52	40	46
Significant at $p < 0.05$	0	4	0	2	0	2

<sup>(a)</sup>Phase comparisons may not sum to the total 75 gauges we used for this analysis due to gauges where there was no difference in AMSQ between phases.

<sup>(b)</sup>First/second term refers to the order of atmospheric phase pairings indicated in the column headers. For example, there are 58 gauges where NAO (+) is associated with greater lag-one AMSQ than NAO (-). <sup>(c)</sup>(+) represents the positive phase of the atmospheric index, while (0) and (-) represent the neutral and negative phases, respectively.



**Fig. 3** NAO linkages with lag-one flood magnitude (AMSQ). Symbols indicate which phase of the NAO is associated with larger AMSQ in the following water year. Larger, shaded plus (minus) symbols indicate gauges with significantly larger AMSQ (at  $p < 0.05$ ) following the positive (negative) phase of the NAO.

southern portions of our study region, with the southernmost gauges experience larger flooding following SOI (–) years, while the central portion shows some evidence for larger flooding following SOI (+) (Fig. 6).

The ENSO appears to play a similar, limited role in affecting POT/WY in the northeastern US. We find no concurrent relationship between SOI phase and POT/WY. Analysing the lagged data, we find SOI (–) produces more POT/WY than both SOI (+) and SOI (0) at a majority of gauges, though just 1 has  $p < 0.05$  (Table 3). SOI (+) is associated with greater lag-one POT/WY than SOI (0), though the relationship is not as clear as the comparison between SOI (0) and SOI (–) (Table 3).

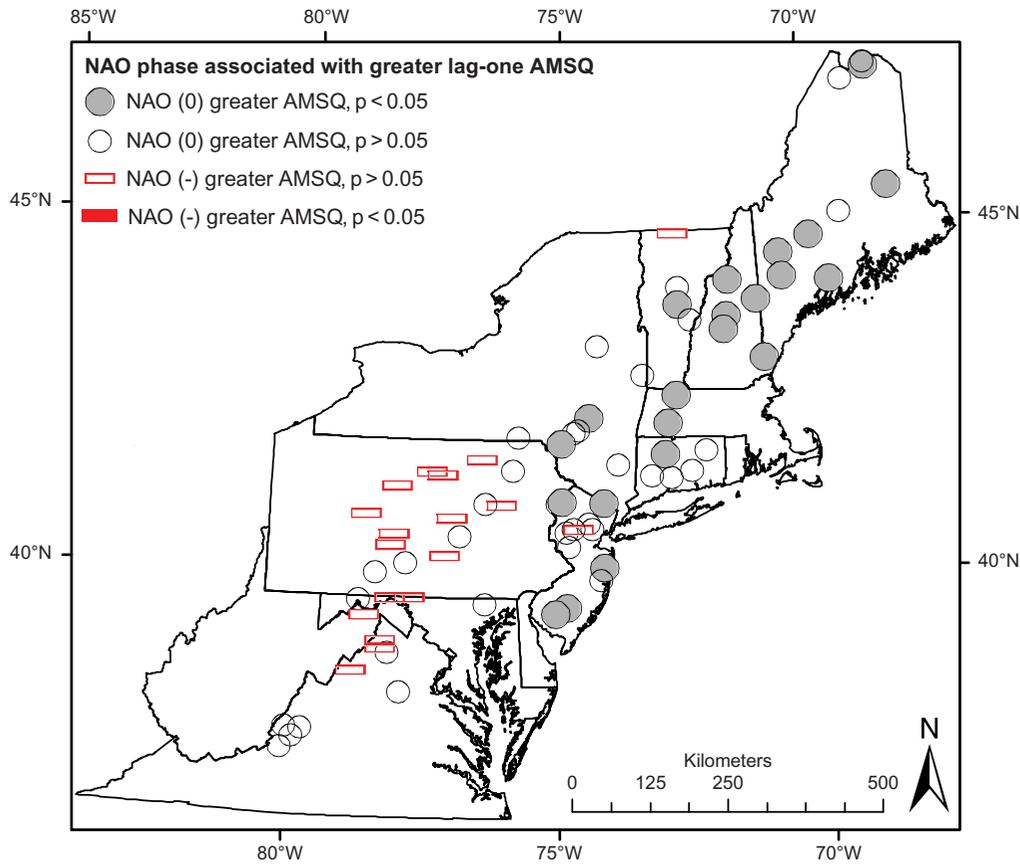
### 3.6 Effect of atmospheric circulation couplings

We find no evidence for enhanced flood response to any phase couplings of ENSO and NAO. Couplings that held NAO constant (e.g. NAO (+), SOI (+) vs NAO (+), SOI (–)) show a weaker difference

between pairings than those comparing the effect of SOI phase alone. We find the same for couplings that held SOI phase constant and changed NAO phase.

## 4 DISCUSSION

Our results show widespread upward trends in flood magnitude (AMSQ) and frequency (POT/WY) throughout the Mid-Atlantic US. (Figs 1–2) that have, in many cases, occurred as a step trend around 1970 (Table 1). The magnitude of the annual flood has increased by more than 25% at nearly half of all AMSQ stations and increases greater than 50% are not uncommon across the region. Increases in flood frequency (POT/WY) are also widespread. Together with our earlier New England investigations, (i.e. Collins 2009, Armstrong et al. 2012), we see stepped, upward trends in AMSQ and POT/WY from Virginia to northern Maine in watersheds draining to the Atlantic Ocean. These results are broadly similar to those of Villarini and Smith (2010) and



**Fig. 4** Comparison of lag-one flood magnitude (AMSQ) following NAO (0) and NAO (-) winters. Symbols indicate which phase of the NAO is associated with larger AMSQ in the following water year. Larger, shaded circles (minuses) indicate gauges with significantly larger AMSQ (at  $p < 0.05$ ) following the neutral (negative) phase of the NAO.

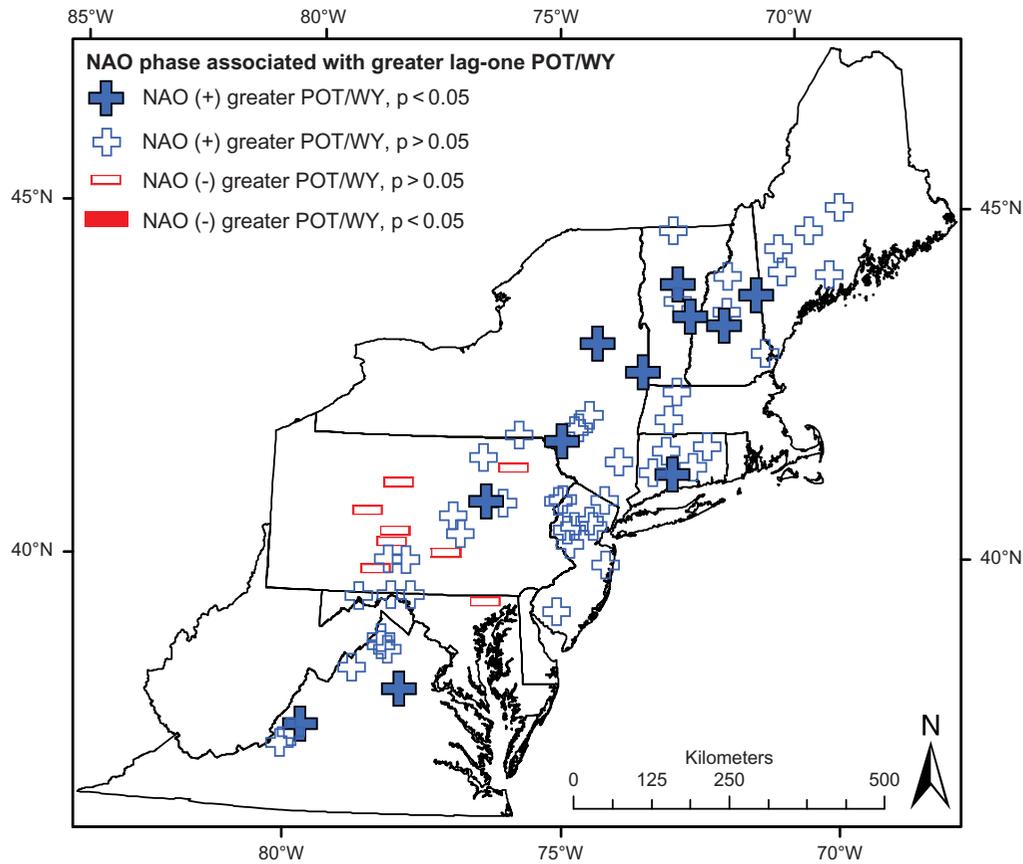
**Table 3** Summary of NAO and ENSO linkages with lag-one flood frequency (POT/WY) in the northeastern U.S.

	Atmospheric circulation linkages with lag-1 POT/WY <sup>(a)</sup>					
	NAO phase comparison			SOI phase comparison		
	NAO (+) <sup>(c)</sup> vs NAO (-)	NAO (+) vs NAO (0)	NAO (0) vs NAO (-)	SOI (+) vs SOI (-)	SOI (+) vs SOI (0)	SOI (0) vs SOI (-)
No. of gauges where first term <sup>(b)</sup> is associated with greater lag-1 AMSQ:						
All gauges	57	23	59	19	44	13
Significant at $p < 0.05$	12	0	25	0	0	0
No. of gauges where second term is associated with greater lag-1 AMSQ:						
All gauges	8	43	7	47	21	53
Significant at $p < 0.05$	0	4	0	1	0	2

<sup>(a)</sup>Phase comparisons may not sum to the total 66 gauges we used for this analysis due to gauges where there was no difference in POT/WY between phases. <sup>(b)</sup>First/second term refer to the order of atmospheric phase pairings indicated in the column headers. For example, there are 57 gauges where NAO (+) is associated with greater lag-one POT/WY than NAO (-). <sup>(c)</sup>(+) represents the positive phase of the atmospheric index, while (0) and (-) represent the neutral and negative phases, respectively.

Rice and Hirsch (2012) for the northern parts of their study domains, but, not surprisingly, our signals are more pronounced given our exclusion of regulated watersheds. Despite the general ubiquity of the increasing trends, we do find a region around

northern Maryland and central Pennsylvania that is characterized by weaker trends, and several decreasing trends, in both AMSQ and POT/WY (Figs 1–2). Understanding why this sub-region shows a different flood response requires further detailed watershed



**Fig. 5** NAO linkages with lag-one flood frequency (POT/WY). Symbols indicate which phase of the NAO is associated with more POT in the following water year. Larger, shaded plus (minus) symbols indicate gauges with significantly more POT (at  $p < 0.05$ ) following the positive (negative) phase of the NAO.

and hydroclimatological analyses beyond the scope of this study.

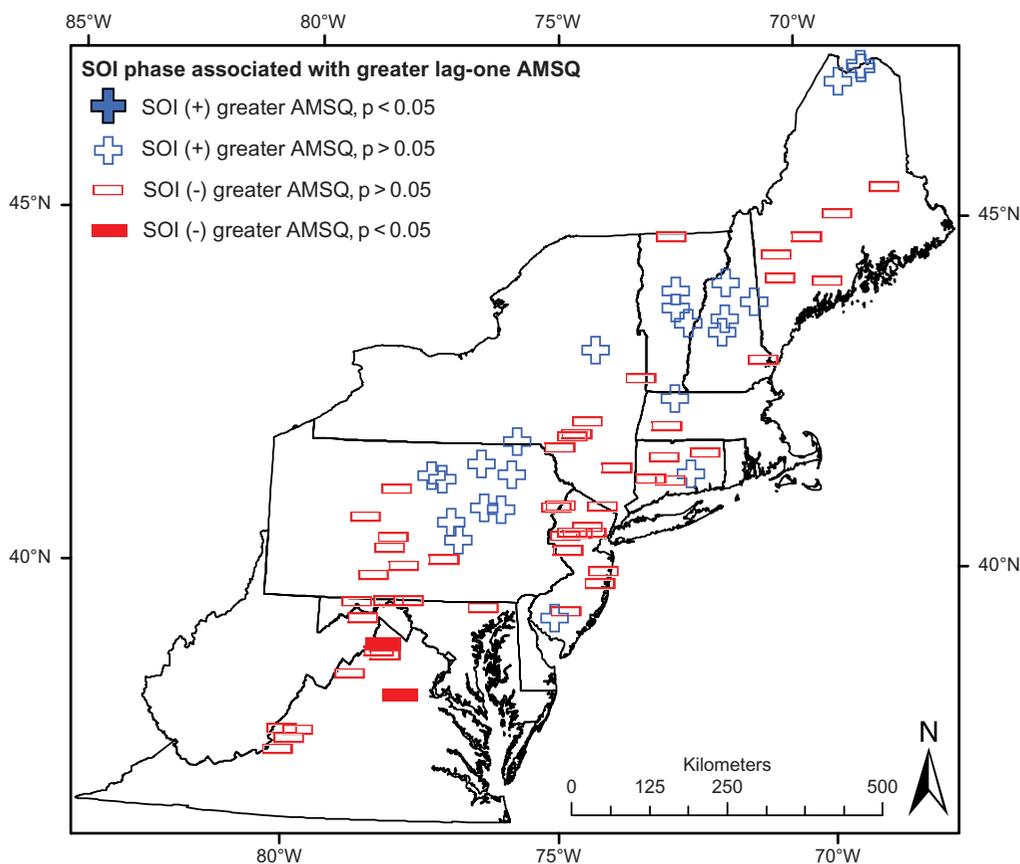
Many changes in flood characteristics of US streams have been linked to human activities such as dam construction, watershed urbanization, and/or changes in agricultural practices (e.g. Booth and Jackson 1997, Allan 2004, Villarini *et al.* 2009). However, we believe the trends we document here are not dominantly results of anthropogenic land-use change and/or direct flow modification for two reasons.

First, as noted above, we took considerable care to select gauges in watersheds with minimal regulation and/or land-use changes that would affect flood flows over their periods of record. To do so, we began by choosing HCDN stream gauges and took several additional measures to investigate the suitability of each watershed for our analyses. We believe these efforts have produced a gauge set that considerably reduces the risk of confusing direct watershed anthropogenic impacts (e.g. land-use change and/or flow regulation) with hydroclimatic

causes of flood trends (Hirsch and Ryberg 2012). This is supported by the conclusion of Smith *et al.* (2010) that large flood trends observed in the Beaver Kill watershed, which stood out in their study of Delaware River basin flooding and are among the largest we document (Table 1), are not dominantly caused by changes in land use.

Second, agricultural abandonment and reforestation documented in the MAR (likely preferentially in rural areas where HCDN watersheds are located) over the last century is a passive anthropogenic impact that would tend to produce decreasing flood magnitudes and frequencies (Steyaert and Knox 2008, Ramankutty *et al.* 2010). Considering that this phenomenon is not accounted for in our gauge selection process, the strength of the upward trends we find is somewhat surprising and indicates the magnitude of the hydroclimatic forcing.

Precipitation has increased nationally by 10% over the 20th century (Karl and Knight 1998), much of which can be attributed to increases in the frequency and intensity of heavy precipitation events



**Fig. 6** ENSO teleconnections with lag-one flood magnitude (AMSQ). Symbols indicate which phase of the SOI is associated with larger AMSQ in the following water year. Larger, shaded plus (minus) symbols indicate gauges with significantly larger AMSQ (at  $p < 0.05$ ) following the positive (negative) phase of the NAO.

(Groisman *et al.* 2001, 2004). In agreement, Polsky *et al.* (2000) found a 10% increase in MAR monthly mean precipitation over the 20th century. Increases have been especially pronounced in the northeastern US (Groisman *et al.* 2004, Madsen and Figdor 2007, Douglas and Fairbank 2011, Nguyen and DeGaetano 2012, Seager *et al.* 2012). Regional temperatures have also increased over the 20th century (Groisman *et al.* 2004). Recent modeling studies for the northeastern-most part of our study domain show that modeled flood magnitude increases associated with precipitation increases are reduced when temperatures are also increased, probably because of reduced winter snowpack and snowmelt runoff (Hodgkins and Dudley 2013). However, snowmelt is a notable flood-generating mechanism only in a relatively small part of our overall study area and it is primarily a factor in mixed rain-snowmelt regimes that show both increased and decreased flood risk in other studies that model air temperature increases (Hamlet and Lettenmaier 2007, Collins *et al.* 2014).

Our findings lead to several important questions. To what mechanisms can we attribute precipitation increases in the region and stepped upward trends in the magnitude and frequency of floods? Are these phenomena manifestations of natural variability in large-scale atmospheric circulation patterns and/or SST anomalies or evidence of anthropogenic warming? Are the two mutually exclusive?

To explore these questions, we analysed linkages among the flood trends we observed and two atmospheric circulation patterns known to affect hydroclimate in the northeastern US (NAO and ENSO). Of the relationships between flooding metrics and the atmospheric indices, we find the strongest evidence for lagged positive relationships between the winter (DJFM) NAO and AMSQ of the following water year (beginning on October 1) and the winter NAO and POT/WY of the following water year (Tables 2–3). Thus, the time lags for these relationships are a minimum of 6 months. These linkages are evident throughout the study region, but are most prominent in the northeastern

portion (Figs 3–5). While the NAO is a persistent atmospheric phenomenon and is thus a mechanism of natural climate variability, the prevalence of NAO (+) winters since the 1970s may be related to anthropogenic climate warming and may favor NAO positive phases in the future (Gillett *et al.* 2003, Hurrell *et al.* 2003, Lu *et al.* 2004, Rind *et al.* 2005, Osborn 2011).

Bradbury *et al.* (2002a) and Kingston *et al.* (2007) have identified weak, but statistically significant, positive relationships between the NAO and various New England streamflow indices and noted that negative NAO is associated with drier conditions in New England (Bradbury *et al.* 2002b). Kingston *et al.* (2007) caution, however, that the positive relationships they identify between New England streamflow and the NAO are complex and require further investigation. A question that naturally arises from our findings is: how can a positive winter NAO, which is associated with warmer wetter conditions in the northeastern US, affect flood magnitude and frequency in the following water year? We suspect that any influence must be through the effect of the winter NAO on antecedent soil moisture conditions. This is problematic, however, when one considers that dry conditions in the intervening warm season could easily erase any increased soil moisture from the previous winter.

However, recent research by Steinschneider and Brown (2011) and Coleman and Budikova (2013) suggest mechanisms by which such gains may be preserved and/or enhanced into the following water year. For example, Steinschneider and Brown (2011) found a positive correlation between the winter NAO phase and late summer one- and seven-day low flow in the Connecticut River basin in New England. They suggest this linkage is driven by positive SST anomalies related to the winter NAO and a resultant effect on regional storm tracks that persists into the summer such that more storms make landfall with the eastern US. This increases precipitation delivery to the region, resulting in higher than average late summer streamflow (Steinschneider and Brown 2011). Greater late season flow indicates higher water tables and greater soil moisture. Such conditions could predispose streams towards greater and more frequent flooding when autumn and winter storms begin. Indeed, Hodgkins and Dudley (2011) document increasing trends in mean summer base flow and summer 7-day low base flow over much of New England.

Coleman and Budikova (2013) also find positive, lagged relationships between the winter NAO

and mean daily summer streamflow in the northeastern US and suggest atmospheric mechanisms to explain the relationships. They show how the positive relationships may be driven mostly by negative NAO conditions, which produce negative mean daily summer streamflow anomalies in the northeastern US, while the positive (and neutral) phases produce average flows. Interestingly, our investigations (Tables 2–3) also suggest that negative winter NAO conditions may have a stronger effect on flood-producing conditions for the following water year (i.e. reducing them) than positive winter NAO conditions because both positive and neutral phases are associated with greater lag-one AMSQ and POT/WY.

Another indicator that regional flooding has some relationship with the NAO is the step changes we observe in our flood series around 1970. This step change is contemporaneous with a well-known shift of the NAO from a dominantly negative phase in the 1950s and 1960s to a dominantly positive phase after that time (Hurrell *et al.* 2003, Collins 2009).

However, our results and the recent work of others (Kingston *et al.* 2007, Seager *et al.* 2012) suggest that observed changes in flooding are at most complexly, and not exclusively, related to the NAO via its potential influence on storm tracks and antecedent soil moisture conditions. Floods are episodic phenomena, so we should also consider other recently documented changes in North Atlantic basin atmospheric conditions that may also affect conditions at the event scale. Seager *et al.* (2012) were unable to clearly identify a single mechanism for increased precipitation in the MAR after 1970, but they ruled out SST anomalies and instead concluded that the recent wet period is primarily a function of internal atmospheric dynamics. They document that the post-1970 increase in MAR precipitation is strongest in the spring and fall (which we note are the seasons that correspond with the primary and secondary streamflow maxima in the region, respectively), apparently related to atmospheric pressure anomalies that promote southeasterly (spring) and southwesterly (fall) ascending atmospheric flow that promotes enhanced precipitation. They also find evidence that the post-1970 wet period may be related to a local strengthening of the Northern Hemisphere storm tracks across the continent, but caution that the strengthening should not be interpreted as having a direct correspondence with the NAO trend (Seager *et al.* 2012).

Nguyen and Degaetano (2012) document that the frequency of closed lows in the northeastern

US, and the precipitation quantity associated with them, has increased significantly since the late 1940s—changes that are consistent with increased tropospheric water vapor expected with anthropogenic increases in global mean temperature. They note that closed lows are one synoptic mechanism associated with extreme rainfall in northeastern US. Francis and Vavrus (2012) also show evidence that warming associated with increased greenhouse gas concentrations has affected conditions in the mid-latitudes in ways that promote atmospheric blocking, which is associated with increased frequency and intensity of extreme events. They document how Arctic warming causes weaker poleward thickness gradients, which in turn causes slower zonal winds and increased Rossby wave amplitudes in the mid-latitudes—both of these factors reduce the speed at which Rossby waves progress and thus increase atmospheric blocking.

Overall, our findings are consistent with previous studies that suggest observed increases in precipitation and flooding in the northeastern US may be related to a combination of factors that include cyclic atmospheric variability and secular changes in atmospheric conditions. It is not surprising that changes in flood magnitude and frequency may reflect a complex interaction of agents because streamflow in general, and floods in particular, are integrating metrics of the hydrologic cycle that reflect precipitation type, quantity, intensity, and duration; land cover; basin lithology and soil properties; basin relief and drainage density; and, importantly, antecedent conditions. The importance of the latter cannot be overstated. For example, hurricanes Floyd (in 1999) and Irene (in 2011; an event not included in our studies) had similar tracks and hydroclimatological attributes when they traversed Vermont, but they produced different flood responses (Sisson 2012). Antecedent moisture conditions in Vermont in the weeks preceding hurricane Irene set the stage for the largest floods in that state since 1927. Floods generated by Floyd, in contrast, were more modest.

## 5 SUMMARY

We find widespread stepped, upward trends in both flood magnitude and flood frequency throughout the MAR that are field significant. Fifty-three of 75 study gauges (71%) show increasing trends in AMSQ via the Mann-Kendall trend test (Fig. 1); 12 gauges (16%) are increasing at  $p < 0.05$ . No decreasing

trends in AMSQ have  $p < 0.05$  (Table 1). We find a median change in AMSQ of about +20% over the period of record. Twenty gauges (27%) show strong evidence for a step increase in AMSQ around 1970 via the Wilcoxon rank-sum test, in agreement with previous studies that show step increases in precipitation, streamflow, and flooding in the northeastern US around the same time (Table 1) (McCabe and Wolock 2002, Mauget 2003, Collins 2009, Hodgkins 2010, Armstrong *et al.* 2012, Rice and Hirsch 2012).

Using resampling methods to address potential serial dependencies in our POT data, 50 of 67 gauges (75%) show field significant increasing trends in POT/WY—a direct measure of flood frequency: 18 gauges (27%) are increasing at  $p < 0.05$ ; 17 gauges (25%) show decreasing trends in POT/WY, none of which have  $p < 0.05$  (Table 1; Fig. 2); and 28 gauges (42%) show strong evidence for a step increase in POT/WY around 1970 (Table 1). As explored by Collins (2009) and Armstrong *et al.* (2012), increasing trends in flood magnitude and/or frequency have important implications for flood risk estimation, restoration, and channel morphology.

The increases in AMSQ and POT/WY documented here for the MAR, combined with similar findings in New England (Collins 2009, Hodgkins 2010, Armstrong *et al.* 2012), are compelling evidence of hydroclimatic increases in flood magnitude and frequency throughout the northeastern US. Our work, in light of recent research, suggests that the flood trends we observe in the region are complexly related to atmospheric circulation variability and potentially secular trends related to climate warming that affect both antecedent conditions and processes at the event scale (Bradbury *et al.* 2002a, 2002b, Kingston *et al.* 2007, Steinschneider and Brown 2011, Francis and Vavrus 2012, Nguyen and DeGaetano 2012, Seager *et al.* 2012). Such complexity is expected since streamflow is an integrative metric of the hydrologic cycle and floods, in particular, are strongly influenced by the combination of conditions that obtain at a given time. Clearly, additional research is necessary to more fully understand all of the process linkages affecting floods in the region.

These results are less equivocal than those of earlier studies, which found a mix of upwards and downwards trends in high streamflow in the northeastern US (Lins and Slack 1999, Douglas *et al.* 2000, McCabe and Wolock 2002, Lins and Slack 2005, Small *et al.* 2006, Villarini *et al.* 2009, Villarini and Smith 2010, Rice and Hirsch 2012). We believe our data provide the best available

description of the magnitude and direction of hydroclimatic flood trends in the region because of our (1) updated periods of record, (2) comparatively high density of stream gauges, and (3) substantial efforts to identify gauges with minimal human modification of flood hydrographs. While studies that do not exclude gauges influenced by regulation or other anthropogenic impacts that affect flooding present excellent documentation of flooding trends in general, their ability to draw conclusions about hydroclimatic changes is limited (e.g. Villarini and Smith 2010). We agree with the perspective articulated by Vogel *et al.* (2011) that anthropogenic streamflow impacts are important and, when compounded with hydroclimatic trends, can result in larger changes in AMSQ and POT/WY than climatic forcing alone. However, studies attempting to isolate hydroclimatic streamflow trends are merited to understand trends that will be superimposed on any land-use-induced trends and could magnify or moderate changes in flow conditions depending on trend direction and magnitude. Furthermore, anthropogenic climate change is expected to influence the magnitude and frequency of extreme events and thus hydroclimatic trend investigations are useful for evaluating whether such changes are manifest in the instrumental record (Hirsch and Ryberg 2012).

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